PATENT

IN THE CAPTED STATES PATENT AND TRADEMARK OFFICE

Applicant:

S/N 10/759,73

BOND

Examiner:

UNKNOWN

Serial No.:

10/759,731

Group Art Unit:

1632

Filed:

JANUARY 16, 2004

Docket No.:

11669.136USU1

Title:

SYNTHETIC ANTIBODY PHAGE LIBRARIES

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 371503605 US

Date of Deposit: October 8, 2004

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Missing Parts, Commissioner for Patents and

Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Sheryl A. Boerboom

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

23552

PATENT TRADEMARK OFFICE

Dear Sir:

This paper is being filed in response to the Examiner's communication mailed May 5, 2004. In response to the Notice to File Missing Parts of Nonprovisonal Application and in accordance with the requirements of 37 C.F.R. § 1.821 - § 1.825, Applicants enclose herewith a computer readable form of the Sequence Listing. In addition, a paper copy of the Sequence Listing is also provided. Please insert the paper copy into the file after the Executed Oath or Declaration, as required by 37 C.F.R. § 1.77(a)(15). Applicants hereby state that the computer readable form and the paper copy of the Sequence Listing enclosed do not include new matter.

Pursuant to the Examiner's request, also enclosed is a copy of the Notice to File Missing Parts of Nonprovisional Application with the sequence listing rules.

Respectfully submitted,

MERCHANT & GOULD P.C.

P.O. Box 2903

Minneapolis, Minnesota 55402-0903

(612) 332-5300

Date: October 8, 2004

Hathum M Kernaleyk
Katherine M. Kowalchyk

Reg. No. 36,848 KMK:PLSdb

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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BOND

Examiner:

UNKNOWN

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Confirmation

6901

No.:

Due Date:

NOVEMBER 5, 2004

Title:

SYNTHETIC ANITBODY PHAGE LIBRARIES

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Name: Sheryl A. Boerboom

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

23552

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☐ Transmittal Sheet in duplicate containing Certificate of Mailing
- Request for Extension of Time for four months and fee of \$1,530.00
- Notice to File Missing Parts of Nonprovisional Application Part 2
- Check in the amount of \$3,186.00 for deferred filing fee (\$790), additional claims fee (\$2,266) and missing parts surcharge (\$130)
- Signed Combined Declaration and Power of Attorney
- Response to Notice to File Missing Parts of Nonprovisional Application Regarding Sequence Listing
- Computer readable form of Sequence Listing. Applicants state that the paper copy form of the Sequence Listing section of the present application, and the computer readable form submitted herewith, are the same.
- Paper copy of Sequence Listing
- □ Preliminary Amendment
 - The fee has been calculated as shown below in the "Claims as Amended" table
- Return postcard

CLAIMS AS AMENDED

Claims Remaining After		Highest Number		Present Extra		Rate		Fee
Amendment		Previously Paid For						
Total Claims								
97	T -	20	=	77	х	18.00	=	\$1386.00
Independent Claims								
13	-	3	=	10	х	88.00	=	\$880.00
MULTIPLE DEPENDENT CLAIM FEE								\$0.00
TOTAL FILING FEE								\$2,266.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 612.332.5300 By: 1) Whii M Kowaldyk
Name: Katherine M. Kowaldyk

Reg. No.: 36,848 KKowalchyk:PLSdb

(PTO TRANSMITTAL - GENERAL)



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/759,731

MERCHANT & GOULD PC

MINNEAPOLIS, MN 55402-0903

01/16/2004

Christopher J. Bond

11669.0136USU1

CONFIRMATION NO. 6901

FORMALITIES LETTER

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Date Mailed: 05/05/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION 00000005 10759731

10/13/2004 WASFAW1

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P.O. BOX 2903

01 FC:1001 02 FC:1051 03 FC:1201

04 FC:1202

790.00 OP 130.00 OP FILED UNDER 37 CFR 1.53(b)

880.00 DP 1386.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing 790 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$5656 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$5956 for a Large Entity

- \$776 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$5056
 - \$860 for 10 independent claims over 3.
- 1386 \$3906 for 217 total claims over 20.

• \$296 for multiple dependent claim surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE